Original Research Paper

# **Formalizing Relations in Type Theory**

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**Abstract:** Type theory plays an important role in the foundations of mathematics as a framework for formalizing mathematics and a base for proof assistants providing semi-automatic proof checking and construction. Derivation of each theorem in type theory results in a formal term encapsulating the whole proof process. This study uses a variant of type theory, namely the Calculus of Constructions with Definitions, to formalize the standard theory of binary relations. This includes basic operations on relations, criteria for special properties of relations, invariance of these properties under the basic operations, equivalence relation, well-ordering, and transfinite induction. Definitions and proofs are presented as flag-style derivations.

**Keywords:** Type Theory, Calculus of Constructions, Binary Relation, Transfinite Induction, Flag-Style Derivation

## 1. Introduction

Type theories were developed as alternatives to set theory for the foundation of mathematics. Important type theories were introduced by A. Church and P. Martin-Lof; they are typed  $\lambda$ -calculus (see, for example, Barendregt (2012)) and intuitionistic type theory (see, for example, Granstrom (2011)). There are several higher-order variants of typed  $\lambda$ -calculus, such as Calculus of Constructions (CoC) and Calculus of Inductive Constructions (CIC) (see Bertot and Casteran, 2010). These variants make formal bases of proof assistants, which are computer tools for formalizing and developing mathematics. In particular, the well-known proof assistant Coq (Coq Development Team, 2021) is based on the CIC.

This study uses the variant  $\lambda D$  of CoC developed by Nederpelt and Geuvers (2014);  $\lambda D$  is called the Calculus of Constructions with Definitions.  $\lambda D$  is chosen because of its following useful properties described in their book.

- In  $\lambda D$ , as in other variants of CoC, proofs are expressed as formal terms and thus are incorporated into the system.
- In  $\lambda D$  type checking is decidable and therefore proof checking is decidable. So the correctness of a proof can be checked by an algorithm.
- $\lambda D$  is strongly normalizing, which implies the logical consistency of this theory, even with classical logic (when no extra axioms are added).

The theory  $\lambda D$  is weaker than CIC because  $\lambda D$  does not have inductive types. This does not limit its capability for formalizing mathematics because in  $\lambda D$  one can use an axiomatic approach and higher-order logic to express the objects that CIC defines with inductive types.

In these formalizations, the author aims to keep the language and theorems as close as possible to the ones of standard mathematics. Definitions and proofs in this study use the flag-style derivation described in Nederpelt and Geuvers (2014). Long formal derivations are moved from the main text to Appendices for better readability.

## **2.** Type Theory $\lambda D$

Nederpelt and Geuvers (2014) developed a formal theory  $\lambda D$  and formalized some parts of logic and mathematics in it. The main features of  $\lambda D$  are briefly described below.

## 2.1. *Type Theory* $\lambda D$

The language of  $\lambda D$  described in Nederpelt and Geuvers (2014) has an infinite set of variables, *V*, and an infinite set of constants, *C*; these two sets are disjoint. There are also special symbols  $\Box$  and \*.

#### Definition 2.1

**Expressions** of the language are defined recursively as follows.

- (1) Each variable is an expression.
- (2) Each constant is an expression.
- (3) The constant \* is an expression.
- (4) The constant  $\Box$  is an expression.
- (5) (Application) If A and B are expressions, then AB is an expression.



- (6) (Abstraction) If A and B are expressions and x is a variable, then  $\lambda x$ : A.B is an expression.
- (7) (Dependent Product) If A and B are expressions and x is a variable, then  $\Pi x$ : A.B is an expression.
- (8) If A<sub>1</sub>, A<sub>2</sub>,..., A<sub>n</sub> are expressions and c is a constant, then c(A<sub>1</sub>, A<sub>2</sub>,..., A<sub>n</sub>) is an expression.

An expression  $A \rightarrow B$  is introduced as a particular type of Dependent Product from (7) when x is not a free variable in B.

#### Definition 2.2

- (1) A statement is of the form M: N, where M and N are expressions.
- (2) A *declaration* is of form x: N, where x is a variable and N is an expression.
- (3) A descriptive definition is of the form:

$$\overline{x}: A \triangleright c(\overline{x}) := M: N,$$

where  $\overline{x}$  is a list  $x_1, x_2, ..., x_n$  of variables,  $\overline{A}$  is a list  $A_1, A_2, ..., A_n$  of expressions, c is a constant, and M and N are expressions.

(4) A primitive definition is of the form:

$$\overline{x}:\overline{A} \triangleright c(\overline{x}):= \blacksquare:N_{\overline{x}}$$

where  $\overline{x}$ ,  $\overline{A}$  and *c* are described the same way as in (3), and *N* is an expression. The symbol  $\bot$  denotes the nonexisting definiens. Primitive definitions are used for introducing axioms where no proof terms are needed.

- (5) A *definition* is a descriptive definition or a primitive *definition*.
- (6) A judgment is of the form:

$$\Delta; \Gamma \vdash M : N,$$

where *M* and *N* are expressions of the language,  $\Delta$  is an environment (a properly constructed sequence of definitions) and  $\Gamma$  is a context (a properly constructed sequence of declarations).

For brevity, most definitions use implicit variables by omitting the previously declared variables  $\overline{x}$  in  $c(\overline{x})$  in (3) and (4).

The following informally explains the meaning of expressions.

(1) If an expression *M* appears in a derived statement of the form *M*: \*, then *M* is interpreted as a **type**, which represents a set or a proposition.

*Note*: There is only one type \* in  $\lambda D$ . But informally  $*_p$  is often used for propositions and  $*_s$  for sets to make proofs more readable.

- (2) If an expression *M* appears in a derived statement of the form *M* : *N*, where *N* is a type, then *M* is interpreted as an object at the lowest level. When *N* is interpreted as a set, then *M* is regarded as an element of this set.
  When *N* is interpreted as a proposition, then *M* is regarded as a proof (or a proof term) of this proposition.
- (3) The symbol  $\Box$  represents the highest level.
- (4) **Sort** is \* or. Letters *s*, *s*<sub>1</sub>, *s*<sub>2</sub>,... are used as variables for sorts.
- (5) If an expression M appears in a statement of the form  $M : \Box$ , then M is called a **kind**.

 $\lambda D$  contains the derivation rule:

$$\phi; \phi \vdash *: \Box$$
,

It is an axiom (the only axiom) of in  $\lambda D$ , because it has an empty environment and an empty context.

Further details of the language and derivation rules of the theory  $\lambda D$  can be found in Nederpelt and Geuvers (2014). Judgments are formally derived in  $\lambda D$  using the derivation rules.

#### 2.2. Flag Format of Derivations

The flag-style deduction was introduced by Jaskowski and Fitch; it is described in detail by Nederpelt and Kamareddine (2011), and Nederpelt and Geuvers (2014). In short, a derivation in the flag format is a linear deduction. Each "flag" (a rectangular box) contains a declaration that introduces a variable or an assumption; a collection of already introduced variables and assumptions makes the current context. The scope of the variable or assumption is established by the "flag pole". In the scope, one constructs definitions and proof terms for proving statements/theorems in  $\lambda D$ . Each new flag extends the context and at the end of each flag pole, the context is reduced by the corresponding declaration. For brevity, several declarations can be combined in one flag.

#### 2.3. Logic in $\lambda D$

The rules of intuitionistic logic are derived from the theory  $\lambda D$  as shown in Nederpelt and Geuvers (2014). These are briefly described below by showing the introduction and elimination rules for logical connectives and quantifiers.

#### Implication

The logical implication  $A \Rightarrow B$  is identified with the arrow type  $A \rightarrow B$ . The rules for implication follow the following general rules for the arrow type (here they are written in the flag format):

$$\begin{array}{c} \mathbf{var} A:s_1 \mid B:s_2 \\ \hline A \rightarrow B:s_2 \\ \hline u: A \rightarrow B \mid v:A \\ uv: B \\ \hline \mathbf{var} \ x:A \\ \hline \dots \\ M:B \\ \lambda x: A.M: A \rightarrow B \end{array}$$

Here *x* is not a free variable in *B*.

In  $\lambda D$  arrows are right-associative, that is  $A \rightarrow B \rightarrow C$  is a shorthand for  $A \rightarrow (B \rightarrow C)$ .

#### Falsity and Negation

Falsity  $\perp$  is introduced in  $\lambda D$  by:  $\perp := \Pi A : *_p A : *_p$ . From this definition one gets a rule for falsity:

var 
$$B: *_p$$
  
 $\dots$   
 $u: \bot$   
 $u: \Pi A: *_p.A$   
 $uB: B$ 

The rule states that falsity implies any proposition.

As usual, negation is defined by:  $\neg A := A \rightarrow \bot$ .

Other logical connectives and quantifiers are also defined using second-order encoding. Here only a list of their derived rules and names of the corresponding terms are provided, without details of their construction. The exact values of the terms can be found in Nederpelt and Geuvers (2014).

Some of our flag derivations contain the proof terms that will be re-used in other proofs; such proof terms are written in bold font, e.g.  $\Lambda$ -in in the first derived rule for conjunction as follows.

#### Conjunction

These are derived rules for conjunction  $\wedge$ :

$$\begin{array}{c|c} \mathbf{var} \ A, B : *_{p} \\ \hline u : A \mid v : B \\ \hline \land \mathbf{-in}(A, B, u, v) : A \land B \\ \hline w : A \land B \\ \hline \land \mathbf{-el}_{1}(A, B, w) : A \\ \land \mathbf{-el}_{2}(A, B, w) : B \end{array}$$

#### Disjunction

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These are derived rules for disjunction V:
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$$\begin{array}{c|c} \mathbf{var} \ A,B:*_p \\ \hline u:A \\ \hline \lor \cdot \mathbf{in}_1(A,B,u) \ : \ A \lor B \\ \hline u:B \\ \hline \lor \cdot \mathbf{in}_2(A,B,u) \ : \ A \lor B \\ \hline \hline C:*_p \\ \hline \hline u:A \lor B \mid v:A \Rightarrow C \mid w:B \Rightarrow C \\ \hline \lor \cdot \mathbf{el}(A,B,C,u,v,w) \ : \ C \end{array}$$

#### **Bi-Implication**

Bi-implication  $\Leftrightarrow$  has the standard definition:

$$(A \Leftrightarrow B) := (A \Rightarrow B) \land (B \Rightarrow A).$$

*Lemma 2.3.* 

This lemma will be often used to prove bi-implication  $A \Leftrightarrow B$ .

$$\begin{array}{c} \textit{var } A, B: *_p \\ \hline \\ \hline u: A \Rightarrow B \mid v: B \Rightarrow A \\ \hline \\ \textit{bi-impl}(A, B, u, v) := \land \textit{-in}(A \Rightarrow B, B \Rightarrow A, u, v) : A \Leftrightarrow B \end{array}$$

#### Universal Quantifier

The universal quantifier  $\forall$  is defined through the dependent product:

var 
$$S : *_s \mid P : S \to *_p$$
Definition  $\forall (S, P) := \Pi x : S.Px : *_p$ Notation :  $(\forall x : S.Px)$  for  $\forall (S, P)$ 

#### Existential Quantifier

These are derived rules for the existential quantifier  $\exists$ :

v	$\text{ar }S:*_s\mid P:S\rightarrow *_p$
	$\mathbf{var} \ y: S \mid u: Py$
	$\exists$ -in $(S, P, y, u)$ : $(\exists x : S.Px)$
	$C:*_p$
	$u: (\exists x: S.Px) \mid v: (\forall x: S.(Px \Rightarrow C))$
	$\exists$ -el $(S, P, u, C, v)$ : C

Here x is not a free variable in C.

#### Classical Logic

This study uses mostly intuitionistic logic. But sometimes classical logic is needed; in these cases, the following Axiom of Excluded Third is added:

$$\boxed{\begin{array}{c} \mathbf{var} \ A:*_p \\ \hline \mathbf{exc-thrd}(A):= \bot \ : \ A \lor \neg A \end{array}}$$

This axiom implies the Double Negation theorem:

$$\underbrace{\operatorname{var} A : *_p}_{\operatorname{doub-neg}(A) : (\neg \neg A \Rightarrow A)}$$

## 3. Intensional Equality in $\lambda D$

This section introduces intensional equality for elements of any type; it is called just equality. The next section will introduce extensional equality and the axiom of extensionality relating to the two types of equality.

 $\begin{array}{c|c} \overline{\operatorname{var} S:*} \\ \hline \\ \hline \operatorname{var} x,y:S \\ \hline eq(S,x,y) \coloneqq \Pi P:S \to *_p.(Px \Rightarrow Py):*_p \\ & \operatorname{Notation}:x =_S y \text{ for } eq(S,x,y) \end{array} \quad \text{Intensional equality} \end{array}$ 

#### 3.1. Properties of Equality

#### Reflexivity

The following diagram proves the reflexivity property of equality in  $\lambda D$ :

$$\overrightarrow{\operatorname{var} S : * \mid x : S}$$

$$\overrightarrow{\operatorname{var} P : S \to *_{p}}$$

$$Px : *_{p}$$

$$a_{1} := \lambda u : Px.u : Px \Rightarrow Px$$

$$eq\operatorname{refl}(S, x) = \lambda P : S \to *_{p}.a_{1} : (\Pi P : S \to *_{p}.(Px \Rightarrow Px))$$

$$eq\operatorname{refl}(S, x) : x =_{S} x$$

Proof terms are constructed similarly for the following properties of Substitutivity, Congruence, Symmetry, and Transitivity (see Nederpelt and Geuvers (2014)).

#### Substitutivity

Substitutivity means that equality is consistent with predicates of corresponding types.

v	$\mathbf{var} \; S:*$				
	v	$\operatorname{ar}P:S\to *_p$			
		$\mathbf{var} \ x, y : S \mid u : x =_S y \mid v : Px$			
		eq-subs $(S, P, x, y, u, v)$ : Py			

#### Congruence

Congruence means that equality is consistent with functions of corresponding types.

$$\begin{array}{c} \mathbf{var} \ Q,S:* \\ \hline \mathbf{var} \ f:Q \to S \\ \hline \mathbf{var} \ x,y:Q \mid u:x=_Q y \\ \hline \mathbf{eq\text{-cong}}(Q,S,f,x,y,u):fx=_S fy \end{array}$$

Symmetry

The following diagram expresses the symmetry property of equality in  $\lambda D$ .

$$\begin{array}{c} \mathbf{var} \ S:* \\ \hline \mathbf{var} \ x, y: S \mid u: x =_{S} y \\ \hline eq\text{-sym}(S, x, y, u): y =_{S} x \end{array}$$

**Transitivity** 

The following diagram expresses the transitivity property of equality in  $\lambda D$ .

$$\begin{tabular}{|c|c|c|c|} \hline \mathbf{var} & S:* \\ \hline \hline & \mathbf{var} & x, y, z:S \mid u: x =_S y \mid v: y =_S z \\ \hline & eq\mbox{-}trans(S, x, y, z, u, v): x =_S z \\ \hline \end{tabular}$$

### 4. Relations in Type Theory

## 4.1. Sets in $\lambda D$

Below are some definitions from Nederpelt and Geuvers (2014) relating to sets, in particular, subsets of type *S*:

$\operatorname{var} S:*_s$	
$ps(S) := S  o *_p$	Power set of S
<b>var</b> $V: ps(S)$	
Notation: $\{x : S \mid x \in V\}$ for $\lambda x : S.Vx$	
<b>var</b> $x:S$	
$element(S, x, V) := Vx : *_p$	
Notation: $x \in V$ or $x \in V$ for $element(S, x, V)$	

Thus, a subset V of S is regarded as a predicate on S and  $x \in V$  means x satisfies the predicate V.

#### 4.2. Defining Binary Relations in $\lambda D$

Binary relations are introduced in Nederpelt and Geuvers (2014), together with the properties of reflexivity, symmetry, antisymmetry, and transitivity, and definitions of equivalence relation and partial order. These are used here as a starting point for formalizing the theory of binary relations in  $\lambda D$ .

A relation on S is a binary predicate on S, which is regarded in  $\lambda D$  as a composition of unary predicates. The type br(S) of all binary relations on S is introduced below, for brevity:

$$\begin{array}{l} \overline{\operatorname{var} S:*_s} \\ \\ \text{Definition } br(S) := S \to S \to *_p : \ \Box \end{array}$$

In the rest of the article, binary relations are called just relations. The equality of relations and operations

on relations are defined similarly to the set equality and set operations.

Next, the extensional equality of relations is defined vs the intentional equality introduced in the previous section.

 $\begin{array}{c|c} \mathbf{var} \ S: \ast_s \\ \hline \mathbf{var} \ R, Q: br(S) \\ \hline \mathbf{Definition} \subseteq (S, R, Q) := (\forall x, y: S.(Rxy \Rightarrow Qxy)) : \ast_p \\ \text{Notation}: \mathbf{R} \subseteq \mathbf{Q} \text{ for } \subseteq (S, R, Q) \\ \text{Definition} \ Ex-eq(S, R, Q) := R \subseteq Q \land Q \subseteq R : \ast_p \\ \text{Notation}: \mathbf{R} = \mathbf{Q} \text{ for } Ex-eq(S, R, Q) \end{array}$ Extensional equality

The following axiom of extensionality for relations is added to the theory  $\lambda D$ .



The axiom is introduced in the last line by a primitive

definition with the symbol  $\bot$  replacing a non-existing proof term. The Extensionality Axiom states that the two types of equality are the same for binary relations. So the symbol = will be used for both without elaborating on details of applying the axiom of extensionality, when converting one type of equality to the other.

#### 4.3. Operations on Binary Relations

The flag format is used to introduce the identity relation  $id_s$  on type S and converse  $R^{-1}$  of a relation R:

$\operatorname{var} S: *_s$	
Definition $id_S := \lambda x, y : S.(x =_S y) : br(S)$	Identity relation
<b>var</b> $R: br(S)$	
Definition $conv(S, R) := \lambda x, y : S.(Ryx) : br(S)$	
Notation : $\mathbb{R}^{-1}$ for $conv(S, \mathbb{R})$	Converse relation

Next, the operations of union  $\cup$ , intersection  $\cap$ , and composition  $\circ$  of relations are introduced:

v	$\operatorname{ar} S:*_s$	
	$\boxed{\mathbf{var}\ R,Q:br(S)}$	
	Definition $\cup$ (S, R, Q) := $\lambda x, y : S.(Rxy \lor Qxy) : br(S)$	
	Notation : $\mathbf{R} \cup \mathbf{Q}$ for $\cup (S, R, Q)$	Union
	Definition $\cap (S, R, Q) := \lambda x, y : S.(Rxy \land Qxy) : br(S)$	
	Notation : $\mathbf{R} \cap \mathbf{Q}$ for $\cap (S, R, Q)$	Intersection
	Definition $\circ (S, R, Q) := \lambda x, y : S.(\exists z : S.(Rxz \land Qzy)) : br(S)$	
	Notation : $\mathbf{R} \circ \mathbf{Q}$ for $\circ (S, R, Q)$	Composition

#### 4.4. Properties of Operations

The following two technical lemmas will be used in some future proofs.

#### *Lemma* 4.1.

This lemma gives a shortcut for constructing an element of a composite relation.

 $\textit{var}\ S: *_s \mid R,Q: br(S) \mid x,y,z:S$ 

 $u:Rxy\mid v:Qyz$ 

 $a := \wedge -in (Rxy, Qyz, u, v) : Rxy \wedge Qyz$ 

 $prod-term \ (S,R,Q,x,y,z,u,v) := \exists \text{-}in \ (S,\lambda t.Rxt \land Qtz,y,a) \ : \ (R \circ Q)xz$ 

#### *Lemma* 4.2.

This lemma gives a shortcut for proving the equality of two relations:

v	$arS:*_s\mid R,Q:br(S)$	
	$u:R\subseteq Q\mid v:Q\subseteq R$	
	rel-equal(S, R, Q, u	$(v) := \wedge -in \ (R \subseteq Q, Q \subseteq R, u, v) \ : \ R = Q$

#### Theorem 4.3.

For relations *R*, *P*, and *Q* on type *S* the following hold:

 $1)(R^{-1})^{-1} = R$   $2)(R \circ Q)^{-1} = Q^{-1} \circ R^{-1}$   $3)(R \cap Q)^{-1} = R^{-1} \cap Q^{-1}$   $4)(R \cup Q)^{-1} = R^{-1} \cup Q^{-1}$   $5)R \circ (P \cup Q) = R \circ P \cup R \circ Q$   $6)(P \cup Q) \circ R = P \circ R \cup Q \circ R$   $7)R \circ (P \cap Q) \subseteq R \circ P \cap R \circ Q$   $8)(P \cap Q) \circ R \subseteq P \circ R \cap Q \circ R$  $9)(R \circ P) \circ Q = R \circ (P \circ Q)$ 

The formal proof is in Appendix A. The proof of part 2) has the form:

$$\overrightarrow{\mathbf{var} \ S: \ast_s \mid R, Q: br(S)}$$
...
$$\overrightarrow{\mathbf{conv-prod}(S, R, Q) := \dots : \ (R \circ Q)^{-1} = Q^{-1} \circ R^{-1}$$

Its proof term *conv-prod* (S, R, Q) will be re-used later in the paper.

#### 5. Properties of Binary Relations

The properties of reflexivity, symmetry, antisymmetry, transitivity and the relations of equivalence and partial order are defined in Nederpelt and Geuvers (2014) as follows.

 $\begin{array}{l} \boxed{\operatorname{var} S: *_{s} \mid R: br(S)} \\ \hline \\ \hline \text{Definition } refl(S,R) := \forall x: S.(Rxx) : *_{p} \\ \hline \\ \text{Definition } sym(S,R) := \forall x, y: S.(Rxy \Rightarrow Ryx) : *_{p} \\ \hline \\ \text{Definition } antisym(S,R) := \forall x, y: S.(Rxy \Rightarrow Ryx \Rightarrow x =_{S} y) : *_{p} \\ \hline \\ \text{Definition } trans(S,R) := \forall x, y, z: S.(Rxy \Rightarrow Ryz \Rightarrow Rxz) : *_{p} \\ \hline \\ \text{Definition } equiv-relation(S,R) := refl(S,R) \land sym(S,R) \land trans(S,R) : *_{p} \\ \hline \\ \text{Definition } part-ord(S,R) := refl(S,R) \land antisym(S,R) \land trans(S,R) : *_{p} \\ \hline \end{array}$ 

#### Theorem 5.1.

Suppose R is a relation on type S. Then the following hold.

- 1) **Criterion of reflexivity**. *R* is reflexive  $\Leftrightarrow id_S \subseteq R$ .
- 2) First criterion of symmetry. *R* is symmetric  $\Leftrightarrow$   $R^{-1} \subseteq R$ .
- 3) Second criterion of symmetry. *R* is symmetric  $\Leftrightarrow$   $R^{-1} = R$ .
- 4) Criterion of antisymmetry. *R* is antisymmetric  $\Leftrightarrow$  $R^{-1} \cap \mathbb{R} \subseteq id_s$ .
- 5) **Criterion of transitivity**. *R* is transitive  $\Leftrightarrow R \circ R \subseteq R$ .

The formal proof is in Appendix B. The proof of part 3) has the form:

 $\underbrace{\operatorname{var} S : *_{s} \mid R : br(S)}_{\dots}$ sym-criterion(S,R) := ... :  $sym(S,R) \Leftrightarrow R^{-1} = R$ 

Its proof term sym-criterion(S, R) will be re-used later in the paper.

#### Theorem 5.2.

Relation *R* on *S* is reflexive, symmetric, and antisymmetric  $\Rightarrow R = id_S$ .

*Proof.* The formal proof is in the following flag diagram.

$$\begin{array}{||c|c|c|c|} \mathbf{var}\;S:*_{s}\mid R:br(S) \\ \hline u_{1}:refl(S,R)\mid u_{2}:sym(S,R)\mid u_{3}:antisym(S,R) \\ \hline u_{1}:refl(S,R)\mid u_{2}:sym(S,R)\mid u_{3}:antisym(S,R) \\ \hline u_{1}:refl(S,R)\mid v:Rxy \\ a_{1}=u_{2}xyv:Ryx \\ a_{2}=u_{3}xyva_{1}:x=_{S}y \\ a_{2}=u_{3}xyva_{1}:x=_{S}y \\ a_{3}:=\lambda x,y:S.\lambda v:Rxy.a_{2}:(R\subseteq id_{S}) \\ \hline \mathbf{var}\;x,y:S\mid v:(id_{S})xy \\ \hline v:x=_{S}y \\ Notation\;P:=\lambda z:S.Rxz\;:\;S\rightarrow *_{p} \\ a_{4}=u_{1}x:Rxx \\ a_{4}:Px \\ a_{5}:=eq\text{-subs}(S,P,x,y,v,a_{4}):Py \\ a_{5}:Rxy \\ a_{6}:=\lambda x,y:S.\lambda v:(id_{S})xy.a_{5}:(id_{S}\subseteq R) \\ a_{7}:=rel\text{-}equal(S,R,id_{S},a_{3},a_{6}):R=id_{S} \\ \end{array}$$

#### Theorem 5.3. Invariance under converse operation.

Suppose R is a relation on type S. Then the following hold.

- 1) *R* is reflexive  $\Rightarrow R^{-1}$  is reflexive
- 2) *R* is symmetric  $\Rightarrow R^{-1}$  is symmetric
- 3) *R* is antisymmetric  $\Rightarrow R^{-1}$  is antisymmetric
- 4) *R* is transitive  $\Rightarrow R^{-1}$  is transitive

Proof. 1)

$$\begin{tabular}{|c|c|c|c|c|} \hline \mathbf{var} \ S: *_s & | \ R: br(S) \\ \hline \hline u: refl(S, R) \\ \hline \hline var \ x: S \\ ux: Rxx \\ ux: R^{-1}xx \\ a:= \lambda x: S.ux: refl(S, R^{-1}) \\ \hline \end{tabular}$$

2)

$$\begin{tabular}{|c|c|c|c|c|} \hline \mathbf{var} \ S: *_s & | \ R: br(S) \\ \hline \hline \hline u: sym(S, R) \\ \hline \hline & \hline var \ x, y: S & | \ v: R^{-1}xy \\ \hline & v: Ryx \\ uyx: (Ryx \Rightarrow Rxy) \\ a_1 := uyxv: Rxy \\ a_1 : R^{-1}yx \\ a_2 := \lambda x, y: S.\lambda v: R^{-1}xy.a_1 : sym(S, R^{-1}) \\ \hline \end{array}$$

3)

 $\begin{array}{c|c} \mathbf{var} \ S: \ast_{s} \mid R: br(S) \\ \hline \hline u: antisym(S,R) \\ \hline \hline var \ x, y: S \mid v: R^{-1}xy \mid w: R^{-1}yx \\ \hline v: Ryx \\ w: Rxy \\ uxy: (Rxy \Rightarrow Ryx \Rightarrow x = y) \\ a_{1}:= uxywv: x = y \\ a_{2}:= \lambda x, y: S.\lambda v: R^{-1}xy.\lambda w: R^{-1}yx.a_{1}: antisym(S,R^{-1}) \end{array}$ 

4)

$$\begin{array}{c|c} \mathbf{var} \; S: \ast_{s} \mid R: br(S) \\ \hline u: trans(S,R) \\ \hline \hline u: trans(S,R) \\ \hline var \; x, y, z: S \mid v: R^{-1}xy \mid w: R^{-1}yz \\ \hline w: Rzy \\ v: Ryx \\ uzyx: (Rzy \Rightarrow Ryx \Rightarrow Rzx) \\ a_{1}:=uzyxwv: Rzx \\ a_{1}: R^{-1}xz \\ a_{2}:= \lambda x, y, z: S.\lambda v: R^{-1}xy.\lambda w: R^{-1}yz.a_{1}: trans(S, R^{-1}) \\ \hline \end{array}$$

#### Theorem 5.4. Invariance under intersection.

Suppose R and Q are relations on type S. Then the following hold.

- 1) *R* and *Q* are reflexive  $\Rightarrow R \cap Q$  is reflexive.
- 2) *R* and *Q* are symmetric  $\Rightarrow R \cap Q$  is symmetric.
- 3) *R* or *Q* is antisymmetric  $\Rightarrow R \cap Q$  is antisymmetric.
- 4) *R* and *Q* are transitive  $\Rightarrow R \cap Q$  is transitive.

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#### Proof. 1)

var  $S: *_s | R, Q: br(S)$  $u: refl(S, R) \mid v: refl(S, Q)$  $\operatorname{var} x : S$  $a_1 := ux : Rxx$  $a_2 := vx : Qxx$  $a_3 := \wedge -in (Rxx, Qxx, a_1, a_2) : (R \cap Q)xx$  $a_4 := \lambda x : S.a_3 : refl(S, R \cap Q)$ 2) var  $S: *_s | R, Q: br(S)$  $u: sym(S, R) \mid v: sym(S, Q)$ var  $x, y: S \mid w: (R \cap Q)xy$  $w: Rxy \wedge Qxy$  $a_1 := \wedge -\mathrm{el}_1(Rxy, Qxy, w) : Rxy$  $a_2 := \wedge -\mathrm{el}_2(Rxy, Qxy, w) : Qxy$  $a_3 := uxya_1 : Ryx$  $a_4 := vxya_2 : Qyx$  $a_5 := \wedge -in (Ryx, Qyx, a_3, a_4) : (R \cap Q)yx$  $a_6 := \lambda x, y : S.\lambda w : (R \cap Q)xy.a_5 : sym(S, R \cap Q)$ 

## 3)

var  $S : *_s | R, Q : br(S)$ Notation  $A := antisym(S, R) : *_p$ Notation  $B := antisym(S, Q) : *_p$ Notation  $C := antisym(S, R \cap Q) : *_p$  $u: A \vee B$ v:Avar  $x, y : S | w_1 : (R \cap Q)xy | w_2 : (R \cap Q)yx$  $w_1 : Rxy \wedge Qxy$  $a_1 := \wedge -\mathrm{el}_1(Rxy, Qxy, w_1) : Rxy$  $w_2 : Ryx \wedge Qyx$  $a_2 := \wedge -\text{el}_1(Ryx, Qyx, w_2) : Ryx$  $vxy: (Rxy \Rightarrow Ryx \Rightarrow x = y)$  $a_3 := vxya_1a_2 : x = y$  $a_4 := \lambda v : A \cdot \lambda x, y : S \cdot \lambda w_1 : (R \cap Q) xy \cdot \lambda w_2 : (R \cap Q) yx \cdot a_3$ :  $(A \Rightarrow C)$ v: B**var**  $x, y : S | w_1 : (R \cap Q)xy | w_2 : (R \cap Q)yx$  $w_1 : Rxy \wedge Qxy$  $a_5 := \wedge -\text{el}_2(Rxy, Qxy, w_1) : Qxy$  $w_2: Ryx \wedge Qyx$  $a_6 := \wedge -\text{el}_2(Ryx, Qyx, w_2) : Qyx$  $vxy: (Qxy \Rightarrow Qyx \Rightarrow x = y)$  $a_7 := vxya_5a_6 : x = y$  $a_8 := \lambda v : B.\lambda x, y : S.\lambda w_1 : (R \cap Q)xy.\lambda w_2 : (R \cap Q)yx.a_7$ :  $(B \Rightarrow C)$  $a_9 := \lor -el(A, B, C, u, a_4, a_8) : C$  $a_9$ : antisym( $S, R \cap Q$ )

#### 4)

 $\begin{array}{c|c} \mathbf{var} \ S : \ast_{s} | R, Q : br(S) \\ \hline u_{1} : trans(S, R) \mid u_{2} : trans(S, Q) \\ \hline var \ x, y, z : S \mid v : (R \cap Q)xy \mid w : (R \cap Q)yz \\ \hline v : Rxy \land Qxy \\ a_{1} := \land -el_{1}(Rxy, Qxy, v) : Rxy \\ a_{2} := \land -el_{2}(Rxy, Qxy, v) : Qxy \\ w : Ryz \land Qyz \\ a_{3} := \land -el_{1}(Ryz, Qyz, w) : Ryz \\ a_{4} := \land -el_{2}(Ryz, Qyz, w) : Qyz \\ a_{5} := u_{1}xyza_{1}a_{3} : Rxz \\ a_{6} := u_{2}xyza_{2}a_{4} : Qxz \\ a_{7} := \land -in (Rxz, Qxz, a_{5}, a_{6}) : (R \cap Q)xz \\ a_{8} := \lambda x, y, z : S .\lambda v : (R \cap Q)xy.\lambda w : (R \cap Q)yz.a_{7} \\ : trans(S, R \cap Q) \end{array}$ 

#### Theorem 5.5. Invariance under union.

Suppose R and Q are relations on type S. Then the following hold.

- 1)  $R \text{ or } Q \text{ is reflexive} \Rightarrow R \cup Q \text{ is reflexive.}$
- 2) *R* and *Q* are symmetric  $\Rightarrow R \cup Q$  is symmetric.

#### Proof. 1)

$\mathbf{var} \ S \ : \ast_s   R, Q : br(S)$	
$u: refl(S, R) \mid x: S$	
ux : Rxx	
$a_1 := \lor -\mathrm{in}_1(Rxx, Qxx, ux) : (R \cup Q)xx$	
$a_2 := \lor -in_2(Rxx, Qxx, ux) : (Q \cup R)xx$	
$a_3 := \lambda u : refl(S, R).\lambda x : S.a_1$	
: $(refl(S, R) \Rightarrow refl(S, R \cup Q))$	
$a_4(R,Q) := \lambda u : refl(S,R).\lambda x : S.a_2$	
: $(refl(S, R) \Rightarrow refl(S, Q \cup R))$	
$a_5 := a_4(Q, R) : (refl(S, Q) \Rightarrow refl(S, R \cup Q))$	
$u: refl(S, R) \lor refl(S, Q)$	
$a_7 := \vee -el(refl(S,R), refl(S,Q), refl(S,R \cup Q), u, a_3, a_5)$	
: $refl(S, R \cup Q)$	

#### 2)

 $\begin{tabular}{|c|c|c|c|} \hline \mathbf{var} \ S: \ast_s | R, Q: br(S) \\ \hline u_1: sym(S, R) \mid u_2: sym(S, Q) \\ \hline \hline \mathbf{var} \ x, y: S \mid v: (R \cup Q)xy \\ \hline v: Rxy \lor Qxy \\ \hline w: Rxy \\ \hline u_1:= u_1xyw : Ryx \\ \hline \end{tabular}$ 

$$\begin{vmatrix} a_2 := \lor -\operatorname{in}_1(Ryx, Qyx, a_1) &: (R \cup Q)yx \\ a_3 := \lambda w : Rxy.a_2 : (Rxy \Rightarrow (R \cup Q)yx) \\ \hline w : Qxy \\ a_4 := u_2xyw : Qyx \\ a_5 := \lor -\operatorname{in}_2(Ryx, Qyx, a_4) : (R \cup Q)yx \\ a_6 := \lambda w : Qxy.a_5 : (Qxy \Rightarrow (R \cup Q)yx) \\ a_7 := \lor -\operatorname{el}(Rxy, Qxy, (R \cup Q)yx, v, a_3, a_6) : (R \cup Q)yx \\ a_8 := \lambda x, y : S.\lambda v : (R \cup Q)xy.a_7 : sym(S, R \cup Q)$$

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## Theorem 5.6. Invariance under composition.

Suppose R and Q are relations on type S. Then the following hold.

- 1)  $R \circ R^{-1}$  is always symmetric.
- 2) *R* and *Q* are reflexive  $\Rightarrow$  *R*  $\circ$  *Q* is reflexive.
- 3) Suppose R and Q are symmetric. Then  $R \circ Q$  is symmetric  $\Leftrightarrow R \circ Q = Q \circ R$ .

 $\begin{array}{|||l|l|} \hline Proof. 1 \\ \hline \mathbf{var} \ S: \ast_{s} \mid R: br(S) \\ \hline \hline \mathbf{var} \ S: \ast_{s} \mid R: br(S) \\ \hline \hline \mathbf{var} \ x, y: S \mid u: (R \circ R^{-1}) xy \\ \hline \mathbf{Notation} \ P: = \lambda z: S.Rxz \wedge R^{-1}zy : S \to \ast_{p} \\ u: (\exists z: S.Pz) \\ \hline \mathbf{var} \ z: S \mid v: Pz \\ \hline \hline \mathbf{var} \ z: S \mid v: Pz \\ \hline \\ \mathbf{var} \ z: S \mid v: Pz \\ \hline \\ \mathbf{a}_{1} := \wedge \operatorname{el}_{1}(Rxz, R^{-1}zy, v) : Rxz \\ a_{2} := \wedge \operatorname{el}_{2}(Rxz, R^{-1}zy, v) : R^{-1}zy \\ a_{2} : Ryz \\ a_{1} : R^{-1}zx \\ a_{3} := \operatorname{prod-term} (S, R, R^{-1}, y, z, x, a_{2}, a_{1}) : (R \circ R^{-1})yx \\ a_{4} := \lambda z: S.\lambda v: Pz.a_{3} : (\forall z: S.(Pz \Rightarrow (R \circ R^{-1})yx)) \\ a_{5} := \exists \operatorname{-el} (S, P, u, (R \circ R^{-1})yx.a_{4}) : (R \circ R^{-1})yx \\ a_{6} := \lambda x, y: S.\lambda u: (R \circ R^{-1})xy.a_{5} : sym(S, R \circ R^{-1}) \end{array}$ 

2)

 $\begin{tabular}{|c|c|c|c|c|} \hline \mathbf{var} \ S: *_s \mid R, Q: br(S) \\ \hline \hline u: refl(S, R) \mid v: refl(S, Q) \\ \hline \hline \mathbf{var} \ x: S \\ \hline ux: \ Rxx \\ vx: \ Qxx \\ a_1 := \mathrm{prod-term} \ (S, R, Q, x, x, x, ux, vx) \ : \ (R \circ Q)xx \\ a_2 := \lambda x: S.a_1 \ : \ refl(S, R \circ Q) \\ \hline \end{tabular}$ 

3) The derivation below uses the proof term *sym-criterion* (S, R) from Theorem 5.1.3) for the second criterion of symmetry and the proof term *conv-prod* from Theorem 4.3.2).

#### var $S : *_s$

```
\operatorname{var} R : br(S)
```

```
a_1 := sym\text{-}criterion(S, R) : sym(S, R) \Leftrightarrow (R^{-1} = R)
   a_2(R) := \wedge -\operatorname{el}_1(sym(S, R) \Rightarrow (R^{-1} = R), (R^{-1} = R)
       \Rightarrow sym(S, R), a<sub>1</sub>) : sym(S, R) \Rightarrow (R<sup>-1</sup> = R)
   a_3(R) := \wedge -el_2(sym(S, R) \Rightarrow (R^{-1} = R), (R^{-1} = R)
       \Rightarrow sym(S, R), a_1) : (R^{-1} = R) \Rightarrow sym(S, R)
 var R, Q : br(S) \mid u : sym(S, R) \mid v : sym(S, Q)
   a_4 := a_2(R)u : (R^{-1} = R)
   a_5 := a_2(Q)v : (Q^{-1} = Q)
   a_6 := conv-prod(S, R, Q) : (R \circ Q)^{-1} = Q^{-1} \circ R^{-1}
   Notation P_1 := \lambda K : br(S) . ((R \circ Q)^{-1} = K \circ R^{-1}) : br(S) \to *_p
   Notation P_2 := \lambda K : br(S) . ((R \circ Q)^{-1} = Q \circ K) : br(S) \to *_p
   a_6: P_1(O^{-1})
   a_7 := eq-subs(br(S), P_1, O^{-1}, O, a_5, a_6) : (R \circ O)^{-1} = O \circ R^{-1}
   a_7: P_2(R^{-1})
   a_8 := eq-subs(br(S), P_2, R^{-1}, R, a_4, a_7) : (R \circ Q)^{-1} = Q \circ R
   Notation A := sym(S, R \circ Q) : *_p
   Notation B := (R \circ Q = Q \circ R) : *_p
    w: A
      a_9 := a_2(R \circ Q)w : (R \circ Q)^{-1} = R \circ Q
       a_{10} := eq-sym(br(S), (R \circ Q)^{-1}, R \circ Q, a_0) : R \circ Q = (R \circ Q)^{-1}
       a_{11}:=eq\text{-}trans(br(S),R\circ Q,(R\circ Q)^{-1},Q\circ R,a_{10},a_8)
          : R \circ Q = Q \circ R
   a_{12} := \lambda w : A \cdot a_{11} : A \Rightarrow B
     w: B
       w: (R \circ Q = Q \circ R)
       a_{13} := eq-sym(br(S), R \circ Q, Q \circ R, w) : Q \circ R = R \circ Q
       a_{14} := eq-trans(br(S), (R \circ Q)^{-1}, Q \circ R, R \circ Q, a_8, a_{13})
          : (R \circ Q)^{-1} = R \circ Q
      a_{15} := a_3(R \circ Q)a_{14} : sym(S, R \circ Q)
   a_{16} := \lambda w : B.a_{15} : B \Rightarrow A
a_{17} := bi\text{-}impl(A, B, a_{12}, a_{16}) : (sym(S, R \circ Q) \Leftrightarrow R \circ Q = Q \circ R)
```

## 6. Special Binary Relations

#### 6.1. Equivalence Relation and Partition

# *Theorem 6.1.* Invariance of equivalence relation under converse operation and intersection.

Suppose R and Q are equivalence relations on type S. Then the following hold.

- 1)  $R^{-1}$  is an equivalence relation on *S*.
- 2)  $R \cap Q$  is an equivalence relation on *S*.

#### Proof

- 1) Can easily be derived from Theorem 5.3.1), 2), 4) using intuitionistic logic.
- 2) Can easily be derived from Theorem 5.4. 1), 2), 4) using intuitionistic logic.

The formal proofs are skipped.  $\Box$ 

Next is a formalization of the fact that there is a correspondence between equivalence relations on S and partitions of S. Equivalence classes are introduced in Nederpelt and Geuvers (2014) as follows.

 $\begin{array}{c|c} \mathbf{var} \ S : \ast_s \mid R : br(S) \mid u : equiv-rel(S,R) \\ \hline \\ \hline \mathbf{var} \ x : S \\ \hline \\ class(S,R,u,x) := \{y : S \mid Rxy\} : ps(S) \\ \text{Notation} \ [\mathbf{x}]_{\mathbf{R}} \ \text{for} \ class(S,R,u,x) \end{array}$ 

Next, a partition of type *S* is defined:

```
\begin{array}{l} \mathbf{var} \ S \ : \ast_{s} \mid R : S \rightarrow ps(S) \\ \hline partition(S,R) := (\forall x : S.x \in Rx) \\ \land \forall x, y, z : S.(z \in Rx \Rightarrow z \in Ry \Rightarrow Rx = Ry)) \end{array}
```

As usual, one can regard a partition *R* as a collection Rx ( $x \in S$ ) of subsets of *S*. From this point of view, the above diagram expresses the standard two facts for a partition:

- (1) any element of *S* belongs to one of the subsets from the collection (namely *Rx*);
- (2) if intersection of two subsets *Rx* and *Ry* is non-empty, then they coincide.

(1) implies that each subset from the collection is nonempty and that the union of all subsets from the collection is *S*.

#### Theorem 6.2.

Any equivalence relation R on type S is a partition of S and vice versa.

*Proof.* The type of partitions of S is  $S \rightarrow ps(S)$ , which is  $S \rightarrow S \rightarrow *_p$ , and it is the same as the type br(S) of relations on S. The proof consists of two steps.

Step 1. Any equivalence relation is a partition.

 $\begin{array}{c} \mathbf{var} \ S : \ast_{s} \mid R : S \to S \to \ast_{p} \\ \hline u : equiv-rel(S,R) \\ \hline a_{1} := \wedge -el_{1}(refl(S,R), sym(S,R), \wedge -el_{1}(refl(S,R) \wedge sym(S,R), \\ trans(S,R), u)) : refl(S,R) \\ \hline \mathbf{var} \ x : S \\ \hline a_{2} := a_{1}x : Rxx \\ a_{2} : (x \in Rx) \\ a_{3} := \lambda x : S.a_{2} : (\forall x : S.x \in X) \end{array}$ 

This proves the first part of the definition of *partition* (*S*, R), and the second part was proven in Nederpelt and Geuvers (2014), pg. 291.

Step 2. Any partition is an equivalence relation.

 $\operatorname{var} S : *_s \mid R : S \to S \to *_p$ 

```
u: partition(S, R)
  Notation A := \forall x : S.(x \in Rx)
  Notation B := \forall x, y, z : S.(z \in Rx \Rightarrow z \in Ry \Rightarrow Rx = Ry)
  u: A \wedge B
 a_1 := \wedge -el_1(A, B, u) : A
 a_2 := \wedge -el_2(A, B, u) : B
   \operatorname{var} x : S
     a_3 := a_1 x : x \in R x
     a_3: Rxx
  a_4 := \lambda x : S.a_3 : refl(S, R)
   var x, y: S | v: Rxy
     a_5 := a_1 y : (y \in R y)
     v:(y \in Rx)
     a_6 := a_2 x y y v a_5 : Rx = Ry
     a_7 := a_1 x : (x \in R x)
     a_8 := eq\text{-subs}(p_S(S), \lambda Z : p_S(S).x \in Z, Rx, Ry, a_6, a_7) : (x \in Ry)
     a_8: Ryx
  a_9 := \lambda x, y : S \cdot \lambda v : Rxy \cdot a_8 : sym(S, R)
   var x, y, z : S | v : Rxy | w : Ryz
     v: y \in Rx
     a_{10} := a_9 yzw : Rzy
     a_{10}: (yeRz)
     a_{11} := a_2 z x y a_{10} v : R z = R x
     a_{12} := a_1 z : (z \in Rz)
     a_{13} := eq\text{-subs}(ps(S), \lambda Z : ps(S).z \in Z, Rz, Rx, a_{11}, a_{12}) : z \in Rx
     a13 : Rxz
 a_{14} := \lambda x, y, z : S . \lambda v : Rxy . \lambda w : Ryz . a_{13} : trans(S, R)
 a_{15} := \wedge -in(refl(S, R) \wedge sym(S, R), trans(S, R), \wedge -in(refl(S, R),
     sym(S, R), a_4, a_9), a_{14}) : equiv-rel(S, R)
```

#### 6.2. Partial Order

*Theorem 6.3.* Invariance of partial order under converse operation and intersection.

Suppose R and Q are partial orders on type S. Then the following hold.

1)  $R^{-1}$  is a partial order on S.

2)  $R \cap Q$  is a partial order on S.

#### Proof.

- 1) can easily be derived from Theorem 5.3.1), 3), 4) using intuitionistic logic.
- can easily be derived from Theorem 5.4. 1), 3), 4) using intuitionistic logic.

The formal proofs are skipped.  $\Box$ 

## Example 6.4

 $\subseteq$  is a partial order on the power set *ps*(*S*) of type *S*.

## Proof.

This is the formal proof.

**var**  $S : *_s$ 

Notation  $R := \lambda X, Y : ps(S) . X \subseteq Y : br(ps(S))$ Notation A := refl(ps(S), R)Notation B := antisym(ps(S), R)Notation C := trans(ps(S), R) $\operatorname{var} X : ps(S)$  $a_1 := \lambda x : S \cdot \lambda u : (x \in X) \cdot u : X \subseteq X$  $a_2 := \lambda X : ps(S).a_1 : A$ **var**  $X, Y : ps(S) | u : X \subseteq Y | v : Y \subseteq X$  $a_3 := \wedge -in(X \subseteq Y, Y \subseteq X, u, v) : X = Y$  $a_4 := \lambda X, Y : ps(S).\lambda u : X \subseteq Y.\lambda v : Y \subseteq X.a_3 : B$ **var**  $X, Y, Z : ps(S) \mid u : X \subseteq Y \mid v : Y \subseteq Z$ **var**  $x : S \mid w : x \in X$  $a_5 := uxw : (x \in Y)$  $a_6 := vxa_5 : (x \in \mathbb{Z})$  $a_7 := \lambda x : S \cdot \lambda w : (x \in X) \cdot a_6 : X \subseteq Z$  $a_8 := \lambda X, Y, Z : ps(S) . \lambda u : X \subseteq Y . \lambda v : Y \subseteq Z . a_7 : C$  $a_9 := \wedge \text{-in}(A \wedge B, C, \wedge \text{-in}(A, B, a_2, a_4), a_8) \ : \ A \wedge B \wedge C$  $a_9$ : part-ord(ps(S), R)

## 6.3. Well-Ordering and Transfinite Induction

Notation  $\leq$  will be used for a partial order. The following diagram defines the strict order <, the least element of a partially ordered set, and the well-ordering of type *S*.

```
var S : *_s | \leq br(S) | u : part-ord(S, \leq)Definition < := \lambda x, y : S.(x \leq y \land \neg(x = y))var X : ps(S) | x : SDefinition least(S, \leq, X, x) := x \in X \land \forall y : S.(y \in X \Rightarrow x \leq y)Definition well-ord(S, \leq) := part-ord(S, \leq)\land \forall X : ps(S).[\exists x : S.x \in X \Rightarrow \exists x : S.least(S, \leq, X, x)]
```

## Theorem 6.5. Transfinite Induction.

Suppose  $\leq$  is a well-ordering of type *S*. Then for any predicate *P* on *S*:

 $\forall x: S.[(\forall y: S.(y < x \Rightarrow Py) \Rightarrow Px] \Rightarrow \forall x: S.Px.$ 

## Proof

Here is the formal proof.

**var**  $S : *_s | \leq br(S) | u_1 : well-ord(S, \leq) | P : S \rightarrow *_p$  $u_2$ :  $\forall x : S. [\forall y : S. (y < x \Rightarrow Py) \Rightarrow Px]$ Notation  $A := part-ord(S, \leq)$ Notation  $B := [\forall X : ps(S).(\exists x : S.x \in X)]$  $\Rightarrow \exists x : S.least(S, \leq, X, x))]$  $u_1: A \wedge B$  $a_1 := \wedge -el_1(A, B, u_1) : A$  $a_2 := \wedge -el_2(A, B, u_1) : B$  $a_3 := \land -el_1(refl(S, \leq) \land anuisym(S, \leq), trans(S, \leq), a_1)$ :  $refl(S, \leq) \land antisym(S, \leq)$  $a_4 := \wedge -el_2(refl(S, \leq), antisym(S, \leq), a_3) : antisym(S, \leq)$ Notation  $X := \lambda x : S.\neg Px : ps(S)$  $v_1$ : ( $\exists x : S.x \in X$ )  $a_5 := a_2 X v_1 : [\exists x : S.least(S, \leq, X, x)]$ **var**  $x: S | v_2 : least(S, \leq, X, x)$  $a_6 := \wedge -el_1(x \in X, \forall y : S.(y \in X \Rightarrow x \leq y), v_2) : x \in X$  $a_6: \neg Px$  $a_7 := \land -el_2(x \in X, \forall y : S.(y \in X \Rightarrow x \leq y), v_2)$ :  $[\forall y : S.(y \in X \Rightarrow x \leq y)]$ **var**  $y: S | w_1 : y < x$  $a_8 := \wedge -el_1(y \le x, \neg (x = y), w_1) : y \le x$  $a_9 := \wedge -el_2(y \le x, \neg (x = y), w_1) : \neg (x = y)$  $w_2$ :  $\neg Py$ W2 : YEX  $a_{10} := a_7 y w_2 : x \le y$  $a_{11} := a_4 x y a_{10} a_8 : x = y$ a12 := a9a11 : ⊥  $a_{13} := \lambda w_2 : \neg Py.a_{12} : \neg \neg Py$  $a_{14} := doub-neg(Py)a_{13}$  : Py  $a_{15} := \lambda y : S \cdot \lambda w_1 : y < x \cdot a_{14} : [\forall y : S \cdot (y < x \Rightarrow Py)]$  $a_{16} := u_2 x a_{15} : P x$  $a_{17} := a_6 a_{16} : \bot$  $a_{18} := \lambda x : S \cdot \lambda v_2 : least(S, \leq, X, x) \cdot a_{17}$ :  $[\forall x : S.(least(S, \leq, X, x) \Rightarrow \bot)]$  $a_{19} := \exists -el(S, \lambda x : S. least(S, \leq, X, x), a_5, \bot, a_{18}) : \bot$  $a_{20} := \lambda v_1 : (\exists x : S.x \in X) . a_{19} : \neg (\exists x : S.x \in X)$ var x: S  $w: \neg Px$ w: xeX  $a_{21} := \exists -in(S, \lambda_z : S.z \in X, x, w) : (\exists z : S.z \in X)$  $a_{22} := a_{20}a_{21} : \bot$  $a_{23} := \lambda w : \neg Px.a_{22} : \neg \neg Px$  $a_{24} := doub-neg(Px)a_{23} : Px$  $a_{25} := \lambda x : S.a_{24} : (\forall x : S.Px)$ 

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Here the Double Negation theorem is used (twice) with the proof term *doub-neg*. This is the only place in this study where classical (not intuitionistic) logic is used.  $\Box$ 

## 7. Conclusion

Starting with the definitions from Nederpelt and Geuvers (2014) of binary relations and properties of reflexivity, symmetry, antisymmetry, and transitivity, this study formalizes in the theory  $\lambda D$  (the Calculus of Constructions with Definitions) criteria for these properties and proves their invariance under operations of union, intersection, composition, and taking converse. The author provides a formal definition of partition and formally proves correspondence between equivalence relations and partitions. The author derives formal proof that  $\subseteq$  is a partial order on the power set. Finally, the author formally proves the principle of transfinite induction for a type with well-ordering.

The results can be transferred to the proof assistants that are based on the Calculus of Constructions. Since binary relation is an abstract concept used in many areas of mathematics, the results can be useful for further formalizations of mathematics in  $\lambda D$ . Next direction of research is formalization of parts of probability theory in  $\lambda D$  that was outlined in Kachapova (2018).

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## **Ethics**

This is a mathematical article; no ethical issues can arise after its publication.

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## APPENDIX

## Appendix A. Proof of Theorem 4.3

Proof. 1)

$$\begin{array}{c|c} \mathbf{var} \ S : \ast_{s} \mid R : br(S) \\ \hline \mathbf{var} \ x, y : S \\ \hline u : (R^{-1})^{-1}xy \\ u : R^{-1}yx \\ u : Rxy \\ a_{1} := \lambda u : (R^{-1})^{-1}xy.u : (R^{-1})^{-1}xy \Rightarrow Rxy \\ \hline u : Rxy \\ u : Rxy \\ u : (R^{-1})^{-1}xy \\ u : (R^{-1})^{-1}xy \\ a_{2} := \lambda u : Rxy.u : Rxy \Rightarrow (R^{-1})^{-1}xy \\ a_{3} := \lambda x, y : S.a_{1} : (R^{-1})^{-1} \subseteq R \\ a_{4} := \lambda x, y : S.a_{2} : R \subseteq (R^{-1})^{-1} \\ a_{5} := rel-equal(R^{-1})^{-1}, R, a_{3}, a_{4}) : (R^{-1})^{-1} = R \end{array}$$

2)

var  $S : * \mid R \cap hr(S)$ 

Notation 
$$A := (R \circ Q)^{-1} : br(S)$$
  
Notation  $A := (R \circ Q)^{-1} : br(S)$   
Notation  $B := Q^{-1} \circ R^{-1} : br(S)$   
Notation  $P_1 := \lambda z : S.Ryz \land Qzx : S \rightarrow *_p$   
Notation  $P_2 := \lambda z : S.Q^{-1}xz \land R^{-1}zy : S \rightarrow *_p$   
 $u : Axy$   
 $u : (R \circ Q)yx$   
 $u : (\exists z : S.P_1z)$   
 $z : S | v : P_1z$   
 $v : Ryz \land Qzx$   
 $a_1 := \land -el_1(Ryz, Qzx, v) : Ryz$   
 $a_2 := \land -el_2(Ryz, Qzx, v) : Qzx$   
 $a_1 : R^{-1}zy$   
 $a_2 : Q^{-1}xz$   
 $a_3 := prod-term (S, Q^{-1}, R^{-1}, x, z, y, a_2, a_1)$   
 $: (Q^{-1} \circ R^{-1})xy$   
 $a_3 : Bxy$   
 $a_4 := \lambda z : S.\lambda v : P_1z.a_3 : (\forall z : S.(P_1z \Rightarrow Bxy))$ 

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$$\begin{vmatrix} a_{5} := \exists -el (S, P_{1}, u, Bxy, a_{4}) : Bxy \\ a_{6} := \lambda x, y : S . \lambda u : Axy.a_{5} : A \subseteq B \\ \hline \mathbf{var} x, y : S \mid u : Bxy \\ u : (\exists z : S.P_{2}z) \\ \hline z : S \mid v : P_{2}z \\ \hline v : Q^{-1}xz \land R^{-1}zy \\ a_{7} := \land -el_{1}(Q^{-1}xz, R^{-1}zy, v) : Q^{-1}xz \\ a_{8} := \land -el_{2}(Q^{-1}xz, R^{-1}zy, v) : R^{-1}zy \\ a_{7} : Qzx \\ a_{8} : Ryz \\ a_{9} := prod-term (S, R, Q, y, z, x, a_{8}, a_{7}) : (R \circ Q)yx \\ a_{9} : (R \circ Q)^{-1}xy \\ a_{9} : Axy \\ a_{10} := \lambda z : S . \lambda v : P_{2}z.a_{9} : (\forall z : S . (P_{2}z \Rightarrow Axy)) \\ a_{11} := \exists -el (S, P_{2}, u, Axy, a_{10}) : Axy \\ a_{12} := \lambda x, y : S . \lambda u : Bxy.a_{11} : B \subseteq A \\ conv-prod(S, R, Q) := rel-equal(A, B, a_{6}, a_{12}) \\ : (R \circ Q)^{-1} = Q^{-1} \circ R^{-1} \end{aligned}$$

3)

```
var S : *_s | R, Q : br(S)
  Notation A := (R \cap Q)^{-1} : br(S)
  Notation B := \mathbb{R}^{-1} \cap O^{-1} : br(S)
   var x, y : S \mid u : Axy
    u: (R \cap Q)^{-1}xy
    u: (R \cap O)yx
    u: Ryx \wedge Qyx
     a_1 := \wedge -\text{el}_1(Ryx, Qyx, v) : Ryx
     a_2 := \wedge -\text{el}_2(Ryx, Qyx, v) : Qyx
     a_1 : R^{-1}xy
     a_2: Q^{-1}xy
    a_3 := \wedge -in(R^{-1}xy, Q^{-1}xy, a_1, a_2) : Bxy
  a_4 := \lambda x, y : S \cdot \lambda u : Axy \cdot a_3 : A \subseteq B
  var x, y : S \mid u : Bxy
    u: \mathbb{R}^{-1}xy \wedge \mathbb{O}^{-1}xy
     a_5 := \wedge -\mathrm{el}_1(R^{-1}xy, Q^{-1}xy, v) : R^{-1}xy
    a_6 := \wedge -\mathrm{el}_2(R^{-1}xy, Q^{-1}xy, v) : Q^{-1}xy
    a_5: Ryx
     a_6: Qyx
     a_7 := \wedge -in(Ryx, Qyx, a_5, a_6) : (R \cap Q)yx
    a_7: (R \cap O)^{-1}xy
   a_7:Axy
  a_8 := \lambda x, y : S \cdot \lambda u : B \cdot x \cdot y : A
  a_9 := rel-equal(A, B, a_4, a_8) : (R \cap Q)^{-1} = R^{-1} \cap Q^{-1}
```

## 4)

 $\operatorname{var} S : *_{s} | R, Q : br(S)$ Notation  $A := (R \cup Q)^{-1} : br(S)$ Notation  $B := R^{-1} \cup Q^{-1} : br(S)$ var  $x, y : S \mid u : Axy$  $u: (R \cup Q)yx$  $u: Ryx \lor Oyx$ v: Ryx $v: R^{-1}xy$  $a_1 := \lor -in_1(R^{-1}xy, Q^{-1}xy, v) : Bxy$  $a_2 := \lambda v : Ryx.a_1 : Ryx \Rightarrow Bxy$ v: Oyx $v: O^{-1}xy$  $a_3 := \lor -in_2(R^{-1}xy, Q^{-1}xy, v) : Bxy$  $a_4 := \lambda v : Qyx.a_3 : Qyx \Rightarrow Bxy$  $a_5 := \lor -\text{el}(Ryx, Qyx, Bxy, u, a_2, a_4) : Bxy$  $a_6 := \lambda x, y : S \cdot \lambda u : A x y \cdot a_5 : A \subseteq B$ var  $x, y : S \mid u : Bxy$  $u: R^{-1}xy \lor Q^{-1}xy$  $v: R^{-1}xy$ v: Ryx $a_7 := \lor -in_1(Ryx, Qyx, v) : Ryx \lor Qyx$  $a_7: (R \cup O)^{-1}xy$  $a_7:Axy$  $a_8 := \lambda v : R^{-1}xy a_7 : R^{-1}xy \Rightarrow Axy$  $v: Q^{-1}xy$ v: Oyx $a_9 := \lor -in_2(Ryx, Qyx, v) : Ryx \lor Qyx$  $a_9: (R \cup Q)^{-1}xy$  $a_0:Axy$  $a_{10} := \lambda v : O^{-1}xv \cdot a_0 : O^{-1}xv \Rightarrow Axv$  $a_{11} := \lor -\text{el}(R^{-1}xy, Q^{-1}xy, Axy, u, a_8, a_{10}) : Axy$  $a_{12} := \lambda x, y : S \cdot \lambda u : B \cdot x \cdot a_{11} : B \subseteq A$  $a_{13} := rel-equal(A, B, a_6, a_{12}) : (R \cup Q)^{-1} = R^{-1} \cup Q^{-1}$ 

## 5)

 $\begin{array}{c} \mathbf{var} \ S \ : \ast_{s} \mid R, P, Q : br(S) \\ \hline \mathbf{Notation} \ A \ := R \circ (P \cup Q) \ : br(S) \\ \hline \mathbf{Notation} \ B \ := R \circ P \cup R \circ Q \ : br(S) \\ \hline \mathbf{var} \ x, y \ : S \\ \hline \mathbf{Notation} \ P_{0} \ := \lambda z \ : S.Rxz \land (P \cup Q)zy \ : \ S \rightarrow \ast_{p} \\ \hline u \ : Axy \\ u \ : (\exists z \ : S.P_{0}z) \\ \hline z \ : S \mid v \ : P_{0}z \\ \hline v \ : Rxz \land (P \cup Q)zy \end{array}$ 



6) is proven similarly to 5).

## 7)

var  $S : *_s | R, P, Q : br(S)$ Notation  $A := R \circ (P \cap Q) : br(S)$ Notation  $B := R \circ P \cap R \circ O : br(S)$ var x, y : SNotation  $P := \lambda z : S.Rxz \land (P \cap Q)zy : *_p$ u:Axy $u: (\exists z: S.Pz)$ var  $z: S \mid v: Pz$  $v: Rxz \land (P \cap O)zv$  $a_1 := \wedge -\mathrm{el}_1(Rxz, (P \cap Q)zy, v) : Rxz$  $a_2 := \wedge -\text{el}_2(Rxz, (P \cap Q)zy, v) : (P \cap Q)zy$  $a_2: P_{ZY} \wedge Q_{ZY}$  $a_3 := \wedge -\text{el}_1(Pzy, Qzy, a_2) : Pzy$  $a_4 := \wedge -\text{el}_2(Pzy, Qzy, a_2) : Qzy$  $a_5 := \text{prod-term}(S, R, P, x, z, y, a_1, a_3) : (R \circ P)xy$  $a_6 := \text{prod-term}(S, R, Q, x, z, y, a_1, a_4) : (R \circ Q)xy$  $a_7 := \wedge -in ((R \circ P)xy, (R \circ Q)xy, a_5, a_6) : Bxy$  $a_8 := \lambda z : S \cdot \lambda v : Pz \cdot a_7 : (\forall z : S \cdot (Pz \Rightarrow Bxy))$  $a_9 := \exists -el(S, P, u, Bxy, a_8) : Bxy$  $a_{10} := \lambda x, y : S \cdot \lambda u : A x y \cdot a_9 : R \circ (P \cap Q) \subseteq R \circ P \cap R \circ Q$ 

8) is proven similarly to 7).

## 9)

var  $S : *_s | R, P, Q : br(S)$ Notation  $A := (R \circ P) \circ Q : br(S)$ Notation  $B := R \circ (P \circ Q) : br(S)$ var x, y: SNotation  $P_1(x, y) := \lambda z : S . (R \circ P) xz \land Qzy : S \to *_p$ Notation  $P_2(x, y) := \lambda z : S \cdot Rxz \land (P \circ Q)zy : S \rightarrow *_p$ Notation  $P_3(x, y) := \lambda z : S \cdot Rxz \wedge Pzy : S \rightarrow *_p$ Notation  $P_4(x, y) := \lambda z : S \cdot P x z \land Q z y : S \rightarrow *_p$ var  $x, y: S \mid u: Axy$  $u : (\exists z : S.P_1(x, y)z)$ var  $z: S \mid v: P_1(x, y)z$  $a_1 := \wedge -\mathrm{el}_1((R \circ P)xz, Qzy, v) : (R \circ P)xz$  $a_2 := \wedge -el_2((R \circ P)xz, Qzy, v) : Qzy$  $a_1: (\exists z_1: S.P_3(x, z)z_1)$ var  $z_1 : S | w : P_3(x, z)z_1$  $w: Rxz_1 \wedge Pz_1z$  $a_3 := \wedge \operatorname{-el}_1(Rxz_1, Pz_1z, w) : Rxz_1$  $a_4 := \wedge -el_2(Rxz_1, Pz_1z, w) : Pz_1z$ 



## Appendix B. Proof of Theorem 5.1

*Proof.* Each statement here is a bi-implication, so the proof term *bi-impl* from Lemma 2.3 is used.

1)

```
var S : *_s | R : br(S)Notation A := refl(S, R) : *_pNotation B := id_s \subseteq R : *_pu : Avar x, y : S | v : (id_S)xyv : x =_S yNotation P := \lambda z : S.Rxz : S \rightarrow *_pux : Pxa_1 := eq-subs(S, P, x, y, v, ux) : Pya_1 : Rxy
```

$$a_{2} := \lambda x, y : S \cdot \lambda v : (id_{S}) xy.a_{1} : (id_{S} \subseteq R)$$

$$a_{2} : B$$

$$a_{3} := \lambda u : A.a_{2} : (A \Rightarrow B)$$

$$u : B$$

$$var x : S$$

$$a_{4} := eq - refl(S, x) : x =_{S} x$$

$$a_{4} : (id_{S}) xx$$

$$uxx : (id_{S}) xx \Rightarrow Rxx$$

$$a_{5} := uxxa_{4} : Rxx$$

$$a_{6} := \lambda x : S.a_{5} : (\forall x : S \cdot Rxx)$$

$$a_{6} : A$$

$$a_{7} := \lambda u : B.a_{6} : (B \Rightarrow A)$$

$$a_{8} := bi - impl(A, B, a_{3}, a_{7}) : refl(S, R) \Leftrightarrow id_{5} \subseteq R$$

2) and 3) are proven together as follows.

var  $S : *_s | R : br(S)$ Notation  $A := sym(S, R) : *_n$ Notation  $B := R^{-1} \subseteq R : *_p$ Notation  $C := R^{-1} = R : *_p$ u:Avar  $x, y: S \mid v: R^{-1}xy$ v: Ryx $uyx : (Ryx \Rightarrow Rxy)$  $a_1 := uyxy : Rxy$  $a_2 := \lambda x, y : S \cdot \lambda u : R^{-1} x y \cdot a_1 : (R^{-1} \subseteq R)$ var  $x, y: S \mid v: Rxy$  $uxy:(Rxy \Rightarrow Ryx)$  $a_3 := uxyv : Ryx$  $a_3: R^{-1}xy$  $a_4 := \lambda x, y : S \cdot \lambda u : Rxy \cdot a_3 : (R \subseteq R^{-1})$  $a_5 := rel-equal(S, R^{-1}, R, a_2, a_4) : R^{-1} = R$  $a_6 := \lambda u : A . a_2 : A \Rightarrow B$  $a_7 := \lambda u : A.a_5 : A \Rightarrow C$ u: Bvar  $x, y: S \mid v: Rxy$  $v: R^{-1}vx$  $uyx: (R^{-1}yx \Rightarrow Ryx)$  $a_8 := uyxy : Ryx$  $a_9 := \lambda x, y : S \cdot \lambda v : Rxy \cdot a_8 : sym(S, R)$  $a_{10} := \lambda u : B.a_8 : (B \Rightarrow A)$ u: C $u: R^{-1} \subseteq R \land R \subseteq R^{-1}$  $a_{11} := \wedge \operatorname{-el}_1(R^{-1} \subseteq R, R \subseteq R^{-1}, u) : R^{-1} \subseteq R$ 

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 $a_{11}: B$ 

 $a_{12} := a_{10}a_{11} : A$   $a_{13} := \lambda u : C.a_{12} : (C \Rightarrow A)$   $a_{14} := bi\text{-impl}(A, B, a_6, a_{10}) : sym(S, R) \Leftrightarrow R^{-1} \subseteq R$   $sym\text{-criterion}(S, R) := bi\text{-impl}(A, C, a_7, a_{13})$   $sym(S, R) \Leftrightarrow R^{-1} = R$ 

4)

var  $S : *_s | R : br(S)$ Notation  $A := antisym(S, R) : *_p$ Notation  $B := R \cap R^{-1} \subseteq id_S : *_p$ u:Avar  $x, y : S | v : (R \cap R^{-1})xy$  $v: R^{-1}xy \wedge Rxy$  $a_1 := \wedge -\mathrm{el}_1(R^{-1}xy, Rxy, v) : R^{-1}xy$  $a_2 := \wedge -\operatorname{el}_2(R^{-1}xy, Rxy, v) : Rxy$  $a_1$ : Ryx $uxy: Rxy \Rightarrow Ryx \Rightarrow x = y$  $a_3 := uxya_2a_1 : (x = y)$  $a_3$ :  $(id_s)xy$  $a_4 := \lambda x, y : S \cdot \lambda v : (R \cap R^{-1}) xy \cdot a_3 : (R \cap R^{-1} \subseteq id_S)$  $a_A: B$  $a_5 := \lambda u : A.a_4 : (A \Rightarrow B)$ u: Bvar  $x, y: S \mid v: Rxy \mid w: Ryx$  $w: \mathbb{R}^{-1}xy$  $a_6 := \wedge -in_1(R^{-1}xy, Rxy, w, v) : (R^{-1} \cap R)xy$  $a_7 := uxya_6 : (id_S)xy$  $a_7: x = y$  $a_8 := \lambda x, y : S \cdot \lambda v : Rxy \cdot \lambda w : Ryx \cdot a_7 : antisym(S, R)$  $a_8: A$  $a_9 := \lambda u : B \cdot a_8 : (B \Rightarrow A)$  $a_{10} := bi - impl(A, B, a_5, a_9)$  $(antisym(S, R) \Leftrightarrow (R \cap R^{-1} \subseteq id_S))$ 

 $a_1 := \wedge -\text{el}_1(Rxz, Rzy, w) : Rxz$  $a_2 := \wedge -\text{el}_2(Rxz, Rzy, w) : Rzy$  $a_3 := uxzya_1a_2 : Rxy$  $a_4 := \lambda z : S \cdot \lambda w : Pz \cdot a_3 : (\forall z : S \cdot (Pz \Rightarrow Rxy))$  $a_5 := \exists -el(S, P, v, Rxy, a_4) : Rxy$  $a_6 := \lambda x, y : S \cdot \lambda v : (R \circ R) xy \cdot a_5 : (R \circ R \subseteq R)$  $a_6: B$  $a_7 := \lambda u : A.a_6 : (A \Rightarrow B)$ u: B**var**  $x, y, z : S \mid v : Rxy \mid w : Ryz$  $a_8 := prod-term(S, R, R, x, y, z, v, w) : (R \circ R)xz$  $a_9 := uxz : ((R \circ R)xz \Rightarrow Rxz)$  $a_{10} := a_9 a_8 : Rxz$  $a_{11} := \lambda x, y, z : S \cdot \lambda v : Rxy \cdot \lambda w : Ryz \cdot a_{10} : trans(S, R)$  $a_{11}: A$  $a_{12} := \lambda u : B.a_{11} : (B \Rightarrow A)$  $a_{13} := bi\text{-}impl(A, B, a_7, a_{12}) : (trans(S, R) \Leftrightarrow (R \circ R \subseteq R))$ 

## 5)

var  $S : *_s | R : br(S)$ Notation  $A := trans(S, R) : *_p$ Notation  $B := R \circ R \subseteq R : *_p$ u : Avar x, y : SNotation  $P := \lambda z : S.Rxz \land Rzy : S \rightarrow *_p$  $v : (R \circ R)xy$  $v : (\exists z : S.Pz)$ var z : S | w : Pz $w : Rxz \land Rzy$