

1 **Identification of candidate biomarkers for quality assessment of**
2 **hatchery-reared mussel larvae *via* GC/MS-based metabolomics**

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13 **Abstract:**

14 To ensure environmental and economic sustainability of future aquaculture growth,
15 large-scale hatchery production of mollusc larvae is required. However, variation in larval
16 quality currently limits potential maximum yields. Identification of biomarkers which reflect
17 the immediate physiological condition of larvae during hatchery production could help monitor
18 and determine causes of variation. Metabolomics is well-suited to this task due to its capacity
19 for providing an instantaneous snapshot of the physiology of an organism through analysis of
20 its metabolite profile. As a test, we applied GC/MS-based metabolomics for this purpose. Using
21 a variety of univariate and multivariate feature selection methods, we identified four
22 metabolite-metabolite ratios involving levels of succinate, glycine, alanine, pyroglutamate and
23 myristic acid as candidate biomarkers for assessing mussel larval quality. These metabolites
24 are known to have roles in energy metabolism, osmotic regulation, immune function and cell-
25 cell communication. We anticipate that further investigation of these metabolites and their
26 associated biochemical pathways will yield a more complete understanding of the factors
27 responsible for larval production variability.

28
29 **Keywords:** aquaculture; bivalves; larval physiology; larval quality; metabolite profiles;
30 metabolomics; mussel larvae; *Perna canaliculus*

32 **Introduction:**

33 Global mollusc production is rapidly increasing and is one of the largest aquaculture
34 activities in the world. Most of the seed requirements are obtained from wild populations where
35 seasonal and regional reliability of quality and quantity is inconsistent. Furthermore, these
36 natural stocks are approaching, or have exceeded, maximum sustainable yields for some
37 species (Helme et al. 2004). In recent years considerable attention has been placed in the
38 production of hatchery-reared juveniles for natural stock enhancement and grow-out
39 (O'Connor et al. 2012). However, a number of bottlenecks present problems for commercial
40 viability, such as broodstock management and gamete quality, mass production and variable
41 quality of microalgal diets, establishment of successful and reproducible larval rearing

42 practices, improvement of settlement rates and metamorphosis synchronisation and
43 identification of causes and development of remedial strategies for poor larval health and
44 growth.

45 In New Zealand, mussel (*Perna canaliculus*) exports represent the largest aquaculture
46 sector by value and volume. Small-scale hatchery production of spat currently contributes only
47 marginally towards industry's seed requirements. However, substantial research over the past
48 decade has led to the on-going development of selective breeding lines (MacAvoy et al. 2008),
49 establishment of a cryopreservation program (Paredes et al. 2012), optimisation of microalgae
50 culture and larval rearing procedures (Ragg et al. 2010; Kaspar et al. 2014) and future strategies
51 for extensive growth and up-scaling of hatchery facilities (Roberts 2013). The ability to provide
52 consistency in larval quality and quantity is an important step towards reaching the commercial
53 goal of successful large-scale production.

54 Larval quality is a term which refers to the physiological condition of larvae and is
55 related to growth and survival during different developmental stages and under various
56 environmental conditions (Racotta et al. 2003). For marine invertebrates, factors responsible
57 for variation in larval quality may include parental genetics (Meyer & Manahan 2010),
58 maternal size and life-history experience (Marshall & Keough 2004, 2006), level of maternal
59 provisioning (Smith & Bolton 2007), sperm environment prior to fertilisation (Ritchie &
60 Marshall 2013), sperm/egg ratios during fertilisation (Marshall & Keough 2003), embryo and
61 larval rearing densities (Galley et al. 2010; Deng et al. 2013), availability of food (Aarab et al.
62 2013), presence of pathogens (Kesarcodi-Watson et al. 2009) and physical environmental
63 parameters (Sánchez Lazo & Martínez-Pita 2012). However, even with attempts to control
64 such factors within hatcheries, inter- and intra-cohort variation in larval quality is still
65 commonly observed. The effects of this variation have far-reaching implications for mollusc
66 aquaculture due to difficulties in predicting larval production volumes and potential carry-over
67 effects on post-metamorphic survival, growth and reproduction (Emlet & Sadro 2006;
68 Przeslawski & Webb 2009). Thus, continual monitoring of larval quality is critical to provide
69 information for determining causation of poor production levels, which may lead to better
70 management decisions. Identification of biomarkers which reflect the immediate physiological
71 condition of larvae has the potential to provide industry with valuable tools to improve the
72 commercial viability of hatchery operations.

73 Metabolomics is a non-targeted approach to comprehensively profile a broad range
74 of metabolites within biological samples, such as cells, tissues and whole organisms.
75 Presenting unique opportunities for novel biomarker discovery, metabolomics is one of the

76 latest fields gaining a stronghold in the various approaches towards molecular biology. Since
77 metabolites are end products of gene and protein expression and are exceptionally sensitive to
78 genetic and environmental perturbations, metabolomics offers a revolutionary framework for
79 phenotyping organisms at the molecular level (Kuehnbaum & Britz-McKibbin 2013). Using
80 such a hypothesis-free driven approach has yielded excellent information without *a priori*
81 knowledge for understanding complex biological processes. Furthermore, this approach
82 routinely demonstrates value in corroborating data from gene and protein expression studies,
83 as well as providing additional and complimentary evidences (e.g., Wilmes et al. 2013).
84 Examples of applications are widespread across diverse areas of research from human
85 medicine (Jin et al. 2014) to deep-sea microbial ecology (Kimes et al. 2013).

86 While only limitedly applied to aquaculture research thus far, specific metabolomics-
87 based investigations are starting to provide useful information for various sectors within the
88 aquaculture industry. For example, identification of biomarkers and development of new
89 techniques for monitoring health (Schock et al. 2012, 2013; Ji et al. 2013), growth (Almi
90 2012), nutritional condition (Cajka et al. 2013; Liu et al. 2013; Abro 2014; Wagner et al. 2014),
91 culture environment (Savorani et al. 2010; Picone et al. 2011) and meat quality (Erikson et al.
92 2012) in fish, shellfish and crustaceans are now available. However, despite its wide
93 applicability, the use of metabolomics in mollusc larval culture has not yet been realised. Here,
94 we utilise GC/MS-based metabolomics to identify candidate biomarkers for assessing mussel
95 larval quality using differential growth rates as a quality measure.

96

97 **Methods:**

98 ***Larval sampling and metabolite identification***

99 Following standard industry protocols (Ragg et al. 2010), embryos produced from a selectively
100 bred family line (F2) were reared to D-stage (2 days post-fertilisation [dpf]) in a 5000 L static
101 tank and graded on an 80 µm sieve to retain healthy individuals from dead or undeveloped
102 embryos and trocophores. These larvae were transferred to three 150 L conical flow-through
103 tanks and fed *ad libitum* for two days. Umbo-stage larvae (4 dpf) were then separated on a
104 mesh screen based on their relative sizes (>/<120 µm) to divide poor quality (slow growing)
105 and high quality (fast growing) larvae. Six samples including pooled individuals (about 80,000)
106 from each of the two size fractions and three replicate rearing tanks were snap frozen in liquid
107 nitrogen and stored at -80°C until metabolite extraction. Using established methods (Villas-
108 Bôas et al. 2011), metabolites were co-extracted with an internal standard (L-alanine-2,3,3,3-

109 *d4*) in cold MeOH:H₂O, derivatised by alkylation (MCF derivatives) and analysed *via* GC/MS.
110 Raw spectral data were pre-processed according to Smart et al. (2010), using the R package
111 *Metab* (Aggio et al. 2011) to automate AMDIS processing. Twenty nine metabolites from
112 different chemical classes (e.g., amino acids, fatty acids, organic acids, cyclic alcohols,
113 vitamins) were reliably identified by comparing retention times and m/z ratios against an in-
114 house MS library.

115

116 ***Data processing***

117 Metabolite peak intensities were normalized against the internal standard to compensate for
118 potential technical variation (e.g., variable metabolite recoveries). Relative metabolite
119 abundances ($\sum \text{peaks}_{i-j} / \text{peak}_i$) were calculated for each sample. Six metabolites with low
120 abundance values (<0.1%) were manually filtered and removed as a data integrity precaution,
121 and every possible remaining pair-wise metabolite level ratio (n = 253) was determined. All
122 ratios were log-transformed to ensure independence of the metabolite order in which they were
123 calculated. The dataset matrix was converted to a comma-separated value file and subjected to
124 a variety of feature selection methods to identify potential biomarkers for discrimination of
125 larval quality classes.

126

127 ***Statistical analyses***

128 All statistical analyses were performed using Metaboanalyst 2.0, a comprehensive web-
129 based analytical pipeline for high-throughput metabolomics studies (Xia et al. 2012), and
130 ROCCT, a web-based tool for common Receiver Operating Characteristic (ROC) curve
131 analyses on metabolomics data sets (Xia et al. 2013). To visualise feature differences between
132 larval quality classes, the top 50 metabolite ratios (ranked by their T-test statistics) were used
133 to perform agglomerative hierarchical cluster analysis (distance = Pearson's correlation;
134 aggregation = Ward's criterion). The constructed dendrogram is co-displayed with the results
135 of heat map analysis to reveal metabolite ratio differences and assist conception of between-
136 class/-feature clusters.

137 Four methods of feature reduction were used independently to minimise selection bias
138 and provide a robust criteria for assisting candidate biomarker identification: 1) Volcano plot
139 analysis was performed to simultaneously display fold-change and T-test statistics to maximise
140 utilisation of statistical information from the data. Since the aim of this study is to identify low
141 numbers of accurate biomarkers with the potential of commercial applicability, the T-test

142 significance level and fold-change cut-off thresholds were set at 0.01 and 3, respectively. 2)
143 Supervised Projection to Latent Squares Discriminant Analysis (PLS-DA) was performed to
144 help identify features which contribute most towards the class separation in the PLS-DA model.
145 PLS-DA uses a multivariate regression technique to extract *via* linear combination of original
146 variables the information that can predict the sample class membership. Leave One Out Cross
147 Validation (LOOC) was used to assess the performance of the PLS-DA model. Feature
148 selection was based on the Variable Importance in Projection (VIP) scores (a weighted sum of
149 squares of the PLS loadings taking into account the amount of explained class-variation in each
150 dimension). Features displaying VIP scores > 1.2 were considered as potential biomarkers of
151 larval quality. 3) Significant Analysis of Metabolites (SAM) was performed to supply
152 supportive evidence for the selection of features identified by PLS-DA. The advantages of
153 SAM are that the analysis addresses the false discovery rate (FDR) when performing numerous
154 tests on high-dimensional data and the use of permutation-based analysis avoids assumptions
155 of metabolite independence by accounting for correlations. A delta value of 2.0 was selected
156 after analysis of FDR *versus* the number of significant features as a function of various delta
157 values. 4) Empirical Bayesian Analysis of Metabolites (EBAM) was used as a final
158 independent feature selection method. EBAM is a variation of the SAM algorithm based on
159 moderated *t*-statistics. The advantages of EBAM are that it also addresses FDR and is well-
160 suited to analysis of data where biological replication is low.

161 To establish the best candidate biomarkers of larval quality, multivariate ROC analyses
162 were performed (PLS-DA algorithm) using the common metabolite ratios identified from the
163 four feature selection methods. ROC analyses which employ Monte-Carlo Cross Validation
164 with multiple iterations are generally considered the method of choice for evaluating the
165 performance of potential biomarkers. Using the ROC Explorer module to carry out repeated
166 model testing, the two most robust predictive models were determined which incorporated the
167 minimum number of the most stable features.

168

169 **Results:**

170 Unsupervised hierarchical clustering (Figure 1A) revealed that the metabolite ratio
171 profiles of the samples (shown on the y-axis) can be distinguished clearly and robustly.
172 Furthermore, the three biological replicates from each of the two larval quality classes are
173 clearly clustered. Clustering of the features (shown on the x-axis) revealed several groups of

174 ratios that were either substantially higher or lower in the poor quality group compared with
175 those in the high quality group.

176 The results of the hierarchical clustering were confirmed in the PLS-DA model (Figure
177 1B), with the first two components explaining 73.5% and 4.1% of the variation, respectively.
178 The performance of the model was assessed *via* LOOCV, which showed a good level of
179 predictive ability ($Q^2 = 0.80$; $R^2 = 0.95$). The metabolite ratios with the greatest influence on
180 the clustering in the PLS-DA plot were identified by their first component VIP scores, resulting
181 in selection of 47 features.

182 SAM analysis (Figure 1C) identified 25 ratios, with an FDR of 3.2%, that were
183 significantly different between the larval quality classes. Of these features, 20 were lower in
184 the poor quality group and five were higher. Using a variation of the SAM algorithm, EBAM
185 analysis (Figure 1D) identified 26 ratios with an FDR of 4.2%, 20 of which were the same as
186 those identified by SAM. Volcano plot analysis (Figure 1E) identified 29 ratios that satisfied
187 the stringent criteria placed on feature selection (i.e., ratios having a fold-change of >3 and
188 simultaneously having a T-test statistic of <0.01). The inclusion of this test removed potentially
189 less biologically relevant features (i.e., those with small between-class differences) that may
190 otherwise have been selected due to very low within-class variation. Of the metabolite ratios
191 independently selected from the four methods, 19 ratios were commonly identified (Figure 1F),
192 all of which were lower in the poor quality cohort.

193 Multivariate ROC analyses (Table 1) of the 19 selected features resulted in
194 identification of four candidate biomarkers. Using balanced subsampling, two thirds of the
195 samples were used to evaluate and rank the important features, which were then used to build
196 classification models. These models were validated on the samples that were left out and the
197 procedure was repeated multiple times to construct a series of most stable n -feature PLS-DA
198 models. Although the proportions that the features were selected for in the iterated models
199 differed (i.e., their rank frequencies), the four ratios identified were similarly incorporated in
200 the 2- and 3-feature models. Candidate biomarker ratios and associated relative metabolite
201 abundances for each larval quality class are presented in Table 2.

202

203 **Discussion:**

204 Of the initial 253 metabolite ratios analysed, we used four independent feature selection
205 methods (Volcano plot, PLS-DA, SAM and EBAM) to identify candidate biomarkers for
206 assessing mussel larval quality. These methods resulted in the selection of between 25 (SAM)

207 to 47 (PLS-DA) potentially important features. The 19 common features selected by the four
208 tests were subjected to ROC-based analyses to reduce the number of final candidate biomarkers
209 and assess their performance. Four metabolite ratios were identified as being good candidates
210 for assessing larval quality: alanine/succinate, glycine/succinate, myristic acid/succinate and
211 pyroglutamate/succinate. The use of ratios can have the advantage of relying on fluctuations of
212 two metabolites rather than one, thereby substantially increasing biomarker sensitivity when
213 these changes occur in opposite trajectories. Ratios of reaction-linked metabolites within a
214 biochemical pathway can also help to identify functional changes not immediately apparent by
215 revealing important associations at the enzyme level, which can be very useful in hypothesis-
216 free studies. Each of the identified ratios were substantially lower in the poor quality larvae.
217 Comparing this group with the faster-growing cohort, the trend is characterised by elevated
218 levels of succinate and simultaneous reductions in relative metabolite abundances of alanine,
219 glycine, pyroglutamate and myristic acid.

220 Succinate is a tricarboxylic acid (TCA) cycle intermediate and is common across all
221 candidate biomarkers. Although many of the 19 potential biomarkers initially identified by the
222 four feature selection methods did not contain succinate, incorporation of this metabolite into
223 all ratios within the most stable 2- and 3-feature PLS-DA models highlights its significance in
224 predicting larval quality. Furthermore, the approximate 10-fold difference in succinate
225 abundance with concomitant ≤ 2 -fold differences in the other four metabolites suggests that
226 succinate is primarily responsible for the variation in biomarker ratios between larval quality
227 classes. The accumulation of succinate in the poor quality larvae may indicate overall
228 metabolic repression and lower energy production (Müller et al. 2012). Many marine molluscs
229 have evolved well-adapted strategies for coping with dynamic environmental fluxes and
230 stressful conditions, such as variations in salinity, pH and oxygen availability. For example,
231 the capacity to easily convert between aerobic and anaerobic respiration under duress provides
232 a mechanisms for molluscs to produce enough energy to preserve critical metabolic function
233 without wasting energy on other non-critical processes, such as growth. Under aerobic
234 conditions, succinate is readily oxidised to fumarate by succinate dehydrogenase. Interestingly,
235 the fumarate/succinate ratio was identified as a potential biomarker candidate during our initial
236 statistical screening in all four of the feature selection methods applied. As a classic example
237 of a metabolite ratio with a direct substrate–product link, further integrated analysis of this
238 reaction and its associated pathways at the transcript and/or protein level may provide
239 important mechanistic insight into the physiological basis for intraspecific growth variation in
240 mussel larvae.

241 Myristic acid is a C:14 fatty acid and is found in high concentrations (13-15% of lipids)
242 in the microalgae diet fed to larvae during the rearing process (Ragg et al. 2010). The mean
243 relative abundances of free myristic acid in the poor quality larvae were lower than in the high
244 quality larvae (0.49 *versus* 0.65% respectively). This may reflect slight differences in lipid
245 digestive abilities between the two classes. The fact that this discrepancy was small shows that
246 the variation in the myristic/succinate ratios were dominated by large differences in succinate
247 content, providing strong support for the potential importance of the TCA cycle intermediate
248 as a marker of larval quality.

249 The FAA glycine was one of the most abundant metabolites found across all samples
250 which is consistent with its role as a common osmolyte in marine bivalves (Kube et al. 2006).
251 Our findings reveal that glycine content was significantly reduced in the poor quality cohort.
252 Recent metabolomic and gene expression studies have demonstrated that glycine content is
253 related to the health state of oysters and clams, with reductions of this amino acid occurring
254 after infection with pathogens (Liu et al. 2013), exposure to arsenic (Ji et al. 2013) and under
255 hypo-osmotic conditions (Meng et al. 2013). Levels of alanine (also serving osmolytic
256 function) in the poor quality larvae mirrored the lower relative abundance of glycine. These
257 observations may indicate the occurrence of stress-induced disruption in osmotic regulation.

258 Pyroglutamate is the cyclic lactam of glutamic acid and, despite its existence being
259 known for over a century, its functions remain poorly understood. When protein-bound, the
260 inclusion of a terminal pyroglutamate residue stabilises proteins by making them resistant to
261 degradation by amino peptidases (Kumar & Bachhawat 2012). In its free form as a cellular
262 metabolite, pyroglutamate is an intermediate in glutathione degradation, can act as an
263 osmoregulator and is also readily interconverted to glutamate, which itself serves various
264 biological roles (Kumar & Bachhawat 2012). For example, glutamate is a molluscan
265 neurotransmitter (Hatakeyma et al. 2010), a common osmolyte in marine bivalves (Kube et al.
266 2006) and the precursor to proline and alanine (Wang et al. 2012). Thus, variations in
267 pyroglutamate levels between larval quality classes may indicate differential immune function
268 and capacities for protein stabilisation, osmoregulation and cell-cell communication.

269 In conclusion, the results of this study demonstrates the potential application of
270 metabolomic-based approaches in aquaculture research to: 1) classify mollusc larvae based on
271 their quality, 2) construct prediction models for larval quality assessment, and 3) identify
272 biochemical pathways which may be under differential regulation to reveal important
273 mechanistic insights for future investigation. Indeed, we anticipate that further analysis of the
274 single metabolites and their ratios will reveal additional information, and when integrated with

275 gene and protein expression data could provide new avenues for selective breeding programs
276 to consistently yield high quality larvae. Supplementary experiments incorporating
277 metabolomics-based approaches to investigate other measures of larval quality (e.g., health
278 after immunological challenge and nutritional condition in response to diet manipulation) have
279 the potential to offer industry with a suite of biomarkers for monitoring the physiological state
280 of larvae throughout the rearing process. Such a tool kit could help identify causes of larval
281 batch failures and develop remedial strategies to enhance overall larval production within
282 hatcheries.

283

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385 **FIGURES**

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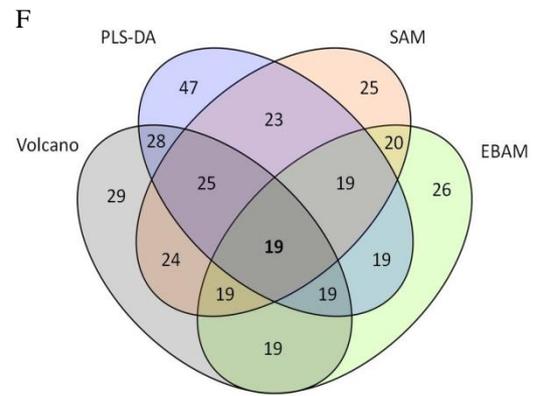
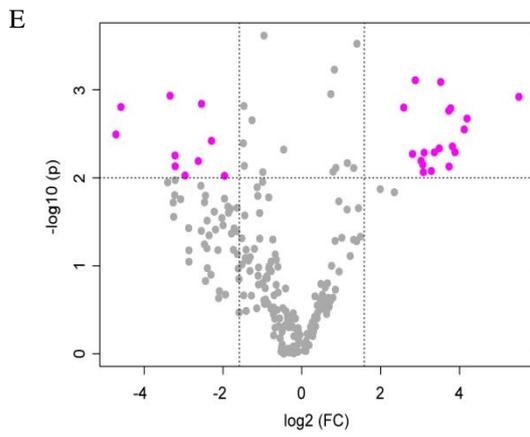
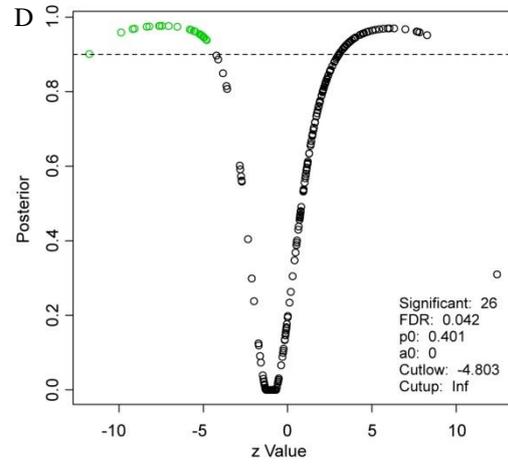
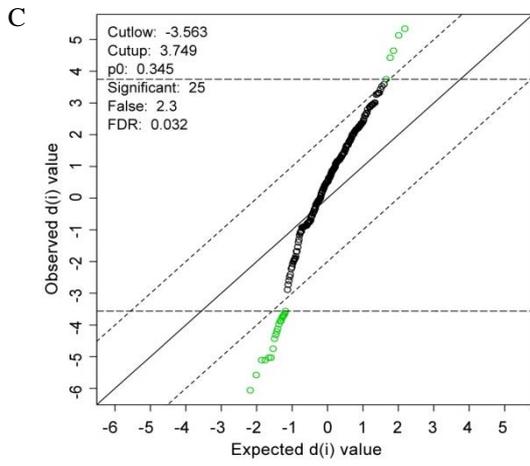
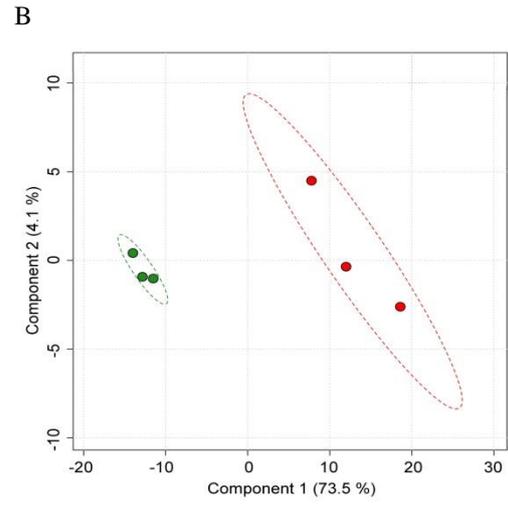
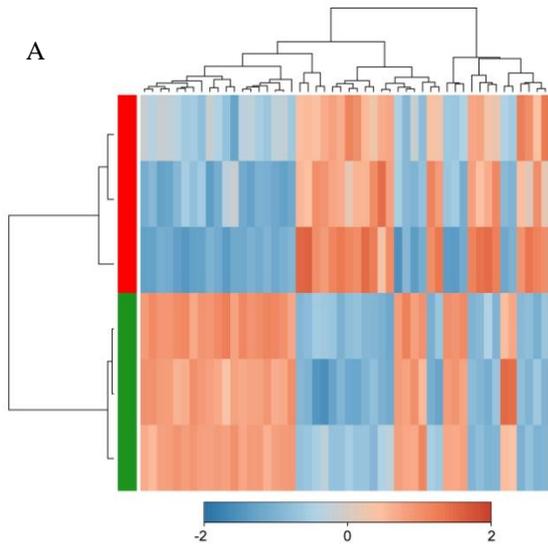
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392 **Figure 1.** Hierarchical clustering and heat map (A) of poor (■) and high (■) quality larvae
 393 based on the top 50 features ranked by their T-test statistic (samples on the y-axis, features on
 394 the x-axis). PLS-DA scores plot (B) of poor (●) and high (●) quality larvae. SAM plot (C) of
 395 significantly different features (○) between quality classes (upper right = ratios that were higher
 396 in poor quality group; lower left = ratios that were lower). EBAM plot (D) of significantly
 397 different features (○) between quality classes (all lower in the poor quality group). Volcano
 398 plot (E) of features (●) with a between-class fold-change >3 and a T-test statistic <0.01 (upper
 399 left = ratios that were higher in the poor quality group; upper right = ratios that were lower).
 400 Venn diagram (E) displays the counts of commonly identified ratios by the four independent
 401 feature selection methods.

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405 **Table 1. Degree of importance and rank frequency of candidate biomarkers in models for larval class**
 406 **separation.**

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Candidate biomarker	Importance in models	2-feature model rank frequency	3-feature model rank frequency
Pyroglutamate/Succinate	1.39	0.68	0.90
Glycine/Succinate	1.28	0.54	0.92
Alanine/Succinate	1.27	0.38	0.78
Myristic acid/Succinate	1.16	0.40	0.40

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